TEMPLATE P74 · ISO/IEC 42001 STATEMENT OF APPLICABILITY

ISO/IEC 42001 statement of applicability

Records, for each control in Annex A of ISO/IEC 42001, whether it applies to the company, why, where SEVEN-G covers it, with what evidence and its implementation status.

Fernando García Varela Version 0.1 (working draft) 25-09-2026 Draft for review

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Warnings for this template. (1) **It does not reproduce the standard**: it identifies the Annex A controls by their code only and summarises their topic in its own terms; the company's statement **must be checked against the text of ISO/IEC 42001 purchased** by the company (34 §4.2). (2) **SEVEN-G does not certify**, and this template does not evidence conformity with the standard (01 §14; document 93): certification of an AI management system can only be issued by an accredited certification body (38 §12). (3) The NIST AI RMF subcategories column is indicative: SEVEN-G proposes it on the basis of 34 §5.4 and of the AIRC crosswalk between the AI RMF and ISO/IEC 42001, prepared on the final draft of the standard.

> **Why it matters.** ISO/IEC 42001 requires the organisation to state which controls it applies, which it excludes and why (clause 6.1.3). This is the piece that turns "aligned with 42001" into something an auditor can verify: without it, the company cannot show that the treatment of its AI risks is complete or prepare for certification. Basing it on SEVEN-G avoids building a second evidence system: each control points to the template, document or tool where the company already keeps the proof.

01

Instructions for use

Aspect	Guidance
When it is used	In **C2 · Direction**, when the scope of the AI management system is defined, and whenever that scope, risk treatment or controls change; it is reviewed in **C5**. Only if the company uses ISO/IEC 42001 as a reference or aims to be certified.
Who completes it	The AI Office with the AI Risk Owner, who propose the applicability and the justification of each control.
Who verifies it	The AI Auditor or the third line, who checks the evidence and the implementation status (38 §12.1). A certification audit, where applicable, is carried out by the accredited body.
Who decides or approves	Senior management approves the statement, as part of the risk treatment in clause 6.1.3; the AI Committee and the board committee are informed.
Stage of the corporate cycle	C2 (approval), C4 (monitoring of implementation status) and C5 (review).
Tool	It has no application of its own in version 0.x. The status of the evidence comes from the use case register (T01), *gate* verification (T03) and the records cited in each row.
Reference document	Document 34 §4 (ISO/IEC 42001: clauses 4 to 10 and Annex A by group) and §5.4 (AI RMF profile); document 38 §12 (relationship with ISO/IEC 42001 and with certification).
Lite versus Enterprise	The statement is the same. In Lite scope, evidence may be grouped by process instead of by use case.

Completion rules:

- **Applicable**: Yes · No. Excluding a control requires a specific justification (for example, the company does not develop systems and only uses them); "it does not affect us" is not a justification.
- **Implementation status**: Implemented · Partly implemented · Planned · Not started. "Implemented" requires evidence that exists, is approved and is applied at the date of the statement, with the same criterion as 11 §4.5.
- The "Where SEVEN-G covers it" column is proposed; the company adjusts it to its actual implementation and its own procedures.
- A cell with no data is left blank: a control is never considered implemented without evidence.

02

Identification

Field	Content	Guidance
Organisation and scope of the AI management system		The approved scope (clause 4.3): units, systems, processes and role regarding AI (provider, deployer, user).
Edition of the standard checked		ISO/IEC 42001:2023 or a later one; the company states that it has checked the statement against the purchased text.
Statement identifier and version		Proposed format DA-YYYY-NN.
Date		DD-MM-YYYY.
Owner of the statement		AI Office.
Reference risk assessment and treatment plan		Document 33; P12 and P13 of the use cases; P35 (risk appetite).
Reference AI RMF profile, if any		P73, with its cut-off date.

03

Statement by Annex A control

One row per control. The topic is our own summary of the control's objective; the binding text is that of the standard.

Control	Topic (own summary)	Applicable	Justification	Where SEVEN-G covers it	Evidence	Implementation status	Related RMF subcate (indicati
A.2.2	Documented AI policy			Document 31; 13 §15; P35			GOVERN GOVERN
A.2.3	Consistency of the AI policy with other policies			Documents 31 and 35; 01 §13			GOVERN MEASUF MEASUF
A.2.4	Periodic review of the AI policy			C5; P37			GOVERN
A.3.2	Roles and responsibilities for AI			01 §8; document 30; P03, P38			GOVERN GOVERN
A.3.3	Channel for reporting concerns			Document 31; document 37			GOVERN MEASUF
A.4.2	Documentation of the resources of each system			P10, P15			GOVERN MAP 2.1
A.4.3	Data resources			P16, P64; document 51			GOVERN MAP 2.3
A.4.4	Tooling resources			P15, P54; document 53			GOVERN MANAGI
A.4.5	System and computing resources			P15, P63; document 42			GOVERN MEASUF
A.4.6	People and competences			P03, P20, P45; document 50			GOVERN MAP 3.4
A.5.2	Impact assessment process			P11; 32 §6			MAP 1.1, 5.1

Control	Topic (own summary)	Applicable	Justification	Where SEVEN-G covers it	Evidence	Implementation status	Related RMF subcate (indicati
A.5.3	Documentation of impact assessments			P11, P47, P48			MAP 5.1, MANAGI
A.5.4	Impact on individuals and groups			P11, P48			MAP 5.1, MEASUF
A.5.5	Impact on society			P11, P48			MAP 5.1, MEASUF
A.6.1.2	Objectives for responsible development			Documents 20 and 53; 01 §3			GOVERN GOVERN
A.6.1.3	Responsible design and development processes			Documents 20, 21 and 53; P29			MAP 2.3 MANAGI
A.6.2.2	System requirements and specification			P01, P15, P17			MAP 1.4, 1.6
A.6.2.3	Documentation of design and development			P15, P16; document 53			MAP 2.1
A.6.2.4	Verification and validation			P22; G5			MEASUF MEASUF
A.6.2.5	Deployment			P21, P23; G5			MEASUF MANAGI
A.6.2.6	Operation and monitoring			P24, P25; document 52; R6			MEASUF MANAGI
A.6.2.7	Technical documentation			P15, P21; document 53			MAP 2.2 MEASUF
A.6.2.8	Recording of system events			P25; AG-10; 34 §3.7			MEASUF

Control	Topic (own summary)	Applicable	Justification	Where SEVEN-G covers it	Evidence	Implementation status	Related RMF subcate (indicati
A.7.2	Data for developing and enhancing the system			P16, P64; document 51			MAP 2.3
A.7.3	Acquisition of data			P14, P64; document 51			MAP 2.3 MEASUF
A.7.4	Data quality			P16; document 51			MAP 2.3 MEASUF
A.7.5	Data provenance			P16; SEG-08			MAP 2.3 MEASUF
A.7.6	Data preparation			P16; document 51			MAP 2.3
A.8.2	Documentation and information for users			P17, P24, P49			MAP 2.2 MEASUF
A.8.3	External reporting			P51; document 60			GOVERN MEASUF
A.8.4	Communication of incidents			P26, P51; document 37			GOVERN MANAGI
A.8.5	Information for interested parties			P46, P49; document 60			GOVERN MANAGI
A.9.2	Responsible use processes			Document 31; P43			GOVERN MANAGI
A.9.3	Responsible use objectives			Document 31; P17			GOVERN MAP 2.2
A.9.4	Intended use of the system			P01, P17, P49			MAP 1.4, MANAGI

Control	Topic (own summary)	Applicable	Justification	Where SEVEN-G covers it	Evidence	Implementation status	Related RMF subcate (indicati
A.10.2	Allocation of responsibilities with third parties			Document 36; P56			GOVERN MANAGI
A.10.3	Suppliers			Document 36; P14, P55, P57			GOVERN GOVERN
A.10.4	Customers			P49, P56; 34 §3.8			GOVERN MANAGI
(illustrative example) **A.10.3**	Suppliers	Yes	The company integrates two third-party models into customer service processes.	Document 36; P14, P55, P57	P14 assessments of both suppliers (03-2026) and current P57 register.	Partly implemented	GOVERN GOVERN

3.1 Additional controls

Clause 6.1.3 allows controls from other sources to be added. If the company applies SEVEN-G controls with no direct equivalent in Annex A (for example, the AG agent controls of document 35), it declares them here.

Additional control	Source	Why it is added	Evidence	Implementation status
(illustrative example) **AG-09 · Kill switch**	Document 35 §7	The company operates agents with A2 autonomy.	Kill switch tests in P19 and P24.	Implemented

04

Summary

Item	Number	Guidance
Annex A controls declared	38	If the edition checked has a different number of controls, the table is adjusted and this is stated here.
Applicable		
Excluded, with justification		Each exclusion has a specific justification.
Implemented · partly · planned · not started		Only for applicable controls.
Additional controls		Section 3.1.
Open actions to complete implementation		With owner and deadline, in the treatment plan (P13) or in the improvement plan of the maturity report (P34 §6.6).

05

Quality criteria

#	Check	Status
1	The company has checked the statement against the purchased text of ISO/IEC 42001 and states so in section 2.	Met · Not met · Not applicable · Pending
2	All Annex A controls of the edition checked appear in the table, without reproducing the text of the standard.	
3	Each exclusion has a specific justification, consistent with the scope of the management system and with the risk assessment.	
4	Each control marked "Implemented" has evidence that exists, is approved and is applied at the date of the statement.	
5	The statement is consistent with the risk treatment plan (P13) and with the AI RMF profile (P73), if any.	
6	No text of the statement claims that the company is certified or that SEVEN-G certifies conformity.	

06

Approval and verification

Function	SEVEN-G role	Name and position	Date	Signature or reference
Prepares the statement	AI Office with the AI Risk Owner			
Verifies evidence and status	AI Auditor or third line			
Approves	Senior management			
Receives	AI Committee and board committee			

Segregation of duties: whoever prepares the statement does not verify it; the AI Auditor does not verify controls whose implementation they have led.

07

Version control

Completed document

Version	Date	Author	Changes

Template

Version	Date	Changes
0.1	25-09-2026	First version. Statement of applicability of the 38 controls in Annex A of ISO/IEC 42001:2023, identified by their code and with the topic summarised in our own terms, with the proposed coverage in SEVEN-G and the related NIST AI RMF subcategories (indicative). Pending review by legal counsel or an ISO/IEC 42001 auditor.

External sources cited

Links to the official or primary source, checked before publication. Always verify the version in force on the date of use.

NIST AI Risk Management Framework to ISO-IEC-42001 Crosswalk, listed in the AI RMF resource centre (AIRC) · https://airc.nist.gov/airmf-resources/crosswalks/ · Microsoft (aportada por un tercero y alojada por NIST en el AIRC) · 23-05-2023

ISO/IEC 42001:2023 Information technology — Artificial intelligence — Management system · https://www.iso.org/standard/42001 · ISO/IEC (JTC 1/SC 42) · 18-12-2023

Artificial Intelligence Risk Management Framework (AI RMF 1.0), NIST AI 100-1 · https://www.nist.gov/publications/artificial-intelligence-risk-management-framework-ai-rmf-10 · National Institute of Standards and Technology (NIST), Departamento de Comercio de EE. UU. · 26-01-2023