TEMPLATE P65 · R6 CONTINUITY REVIEW PACKAGE

R6 continuity review package

Brings together in a single document the period data that the continuity review needs —value, cost, stability, incidents, risks and compliance— and the team's proposal, so that the verifier can check and the body can decide.

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> **Why it matters.** A system in production can remain available while it loses value, accumulates cost, drifts or stops complying. R6 is the periodic control that detects this in time, but its evidence is spread across ten templates and tools. If nobody brings it together with the same data for the same period, the review becomes a conversation and the body decides without seeing the whole picture. The package orders the ten blocks of 52 §8.2, shows which R6 criterion each one covers and requires a conclusion on whether G7 must be brought forward. Skipping an R6 is a major nonconformity.

01

Instructions for use

Aspect	Guidance
When it is used	At each R6: at least quarterly in Enterprise and half-yearly in Lite. It is brought forward after an S1 or S2 incident, a substantial change or a relevant change by the provider (52 §8.1). It may coincide with the 6- or 12-month review (43 §8.1); in that case the P62 report is attached.
Who completes it	The AI Product Owner and the AI Operations Owner, with the data for the period (52 §8.2).
Who verifies it	AI Office in Lite; AI Auditor in Enterprise, with checklist LV-R6 in document 22.
Who decides	AI Sponsor in Lite; AI Committee in Enterprise (21 §6.7).
Stage, *gate* or moment	R6 · Continuity review (phase 6). The outcome is recorded in P29.
Tool	T01 (R6 events and dates), T03 (verification), T08, T10, T12 and T13 as sources.
Reference document	Document 52 §8; criteria R6.01–R6.16 in document 21 §6.7; LV-R6 in document 22 §9.
Lite versus Enterprise	Lite may omit the fields marked **(Enterprise)** and present the value, cost and risk blocks in simplified form. R6.13 does not apply in Lite.

Completion rules:

- **Same period for all blocks.** Each item of data states its cut-off date; data from another period is flagged.
- **The evidence is provided, not a summary of it:** each block links the version in force of its template or the report from its tool.
- **"No data" is not zero.** A block without data is marked as such and its criterion remains *Pending*.
- This package is the **team's proposal**. It does not replace the verification (LV-R6) or the decision, which are recorded in P29.

02

Identification

Field	Content	Guidance
Use case code		IA-AAAA-NNN (T01).
AI systems		SIA-AAAA-NNN (P05).
Type of system and autonomy level		Predictive ML · Generative AI · Agent; A0–A3.
Intensity, ambition level and regulatory classification		P04, P07, P11.
R6 number and period reviewed		For example, 3rd R6 · 01-01-2027 to 31-03-2027.
Type of R6		Ordinary · Brought forward (reason) · Coincides with the 6- or 12-month review.
Date of the previous R6 and its outcome		P29.
Deadline according to the frequency (R6.01)		
Date the package was submitted		Before the review is requested.

03

Summary for the decision

One line per block. **Situation:** Within what was approved · Variance with action · Significant variance · No data.

Block	Situation	Key data for the period	R6 criteria
1 · Value			R6.02
2 · Released capacity			R6.02, R6.14
3 · Cost			R6.03
4 · Stability, performance and quality			R6.04, R6.05
5 · Incidents and changes			R6.06, R6.07, R6.15
6 · Agents			R6.11
7 · Human oversight and adoption			R6.10, R6.14
8 · Risk and compliance			R6.08, R6.09, R6.13
9 · Continuity			R6.12
10 · Proposal			R6.16

04

Block 1 · Value (P28, T12)

Field	Content	Guidance
Realised value for the period by type (efficiencies, return) and status		Validated · Declared · Estimated.
Realisation for the period and cumulative (F10)		Against the P62 curve.
Validated proportion (F6)		

Field	Content	Guidance
Annualised net value (F2) and validated net value (F2v)		
Stop criteria		Has any been reached?
Reading by ambition		Optimise: materialised savings or reassigned capacity. Augment: sustained performance and adoption. Transform: progress against the stage milestones.
Evidence		P28 version and date.

05

Block 2 · Released capacity (T20)

Net hours released	Materialised	Reassigned	Undecided	Materialisation rate (F5)	Reassignment rate (F5)	Evidence

06

Block 3 · Cost (T13)

Field	Content	Guidance
Actual recurring cost against the budget and against the G3 estimate		Variance and explanation (R6.03).
Cost per transaction or per unit of output		IND-COS-05.
Activations and days in cost-driven degraded mode, by level		N1–N4 (P63 §8).
Quality measured during the mode against the P25 minimum		OPE-18.
More than one month at N2 or above		Yes · No. If Yes, proposal: supplement, optimisation or change of the primary model.

07

Block 4 · Stability, performance and quality (P25)

Metric	Warning threshold	Critical threshold	Value for the period	Critical alerts	Action
Availability					
Main model metric or response quality					
Data or usage drift (OPE-19)					
Bias, including in responses (OPE-20)					
Alert noise (OPE-16) (Enterprise)					

08

Block 5 · Incidents and changes (P27, T08)

Field	Content	Guidance
Incidents by severity		S1 · S2 · S3 · S4, with containment and closure.
Regulatory notifications and deadline met		As per P26.
Open nonconformities and deadline		NC-AAAA-NNN.
Changes by class		Minor · Significant · Substantial; changes by the provider.
Substantial changes and the *gate* they returned to		Without a *gate*: major nonconformity (52 §6.4).
Conditions from previous *gates*		Open, met or expired (P29).

09

Block 6 · Agents (P25, T10)

Only if there are agents (A1–A3).

Actions blocked by limits	Intent anomalies detected and reviewed	Kill switch test (date and result)	Permission and credential review (date)

10

Block 7 · Human oversight and adoption (document 50)

Field	Content	Guidance
Human modification rate		Close to 0% for 30 days is reviewed (52 §5.2).
Adoption against the target		P20.
Supervisors designated and trained		
Records retained and stop mechanism available		R6.10.

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Block 8 · Risk and compliance (P11, P12, T04, T07)

Field	Content	Guidance
New risks or risks rising in level and their acceptance		Body according to the residual level (P12 §6).
Validity of the regulatory classification and of the intensity		T07, T04.
Validity of the impact assessments		P11.
Information to workers' representatives		Updated after changes affecting working conditions (52 §6.4).

Field	Content	Guidance
Post-market monitoring (Enterprise)		Where regulation requires it (52 §9).

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Block 9 · Continuity (P19, P14)

Field	Content	Guidance
Last rollback test and result		Annual in Lite, half-yearly in Enterprise (OPE-15).
Provider situation		Changes to model, terms or sub-processors; incidents; announced obsolescence (OPE-17).

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Check of the R6 criteria

Team self-assessment; it does not replace verification with LV-R6.

Code	Criterion (summary)	Block	Status
R6.01	Review within its frequency	Identification	Met · Not met · Not applicable · Pending
R6.02	Value against the hypothesis with status and validated proportion	1	
R6.03	Actual against estimated costs, with variances explained	3	
R6.04	Performance within thresholds and model changes recorded	4, 5	
R6.05	Bias within thresholds if it affects people	4	
R6.06	Incidents recorded and notified on time	5	
R6.07	Significant changes assessed and, where required, through G4 and G5	5	
R6.08	Classification, impact assessments and intensity in force	8	

Code	Criterion (summary)	Block	Status
R6.09	Risk register up to date and acceptances at the correct level	8	
R6.10	Critical controls working	7	
R6.11	[AG] Permissions, intent, anomalous actions and kill switch	6	
R6.12	[TER] Provider changes, incidents and contract	9	
R6.13	Post-market monitoring where required	8	
R6.14	Adoption against the target	2, 7	
R6.15	Conditions and nonconformities within deadline	5	
R6.16	Assessment of variances that require G7 to be brought forward	10	

14

Block 10 · Variances and proposal

Variances that require G7 to be brought forward (21 §6.7):

Variance	Does it apply? (Yes · No)	Evidence
A stop criterion has been reached.		
Realised value falls below the success threshold in two consecutive reviews.		
A residual risk rises to High or Critical without acceptance at the corresponding level.		
An S1 incident, or recurring S2 incidents with the same cause.		
The regulatory classification or the intensity changes.		
The provider stops meeting non-conditionable terms or announces withdrawal of the service.		

Field	Content	Guidance
Team proposal		Proceed with operation · Proceed with conditions · Bring G7 forward (01 §7.3).
Reasons		Based on blocks 1 to 9.
Proposed conditions		Only non-critical variances, with action, deadline and owner. Not allowed for critical controls, legal compliance or human oversight.
Unacceptable risk detected		If there is one, G7 is not awaited: incident procedure and, where appropriate, stop (52 §8.3).

(Illustrative example) Cumulative realisation of 73.6% with two quarters below 90%; cost within budget; no S1 or S2 incidents; proposal: Proceed with conditions (validate the pending quarters and analyse the fall in realisation before the next R6).

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Quality criteria

#	Check	Status
1	The ten blocks are complete or marked *No data* with a reason.	Met · Not met · Not applicable · Pending
2	All data relate to the period reviewed and link their evidence in force.	
3	Each criterion R6.01–R6.16 has a status and a supporting block.	
4	The assessment of the six variances that require G7 to be brought forward is recorded.	
5	The proposal is a permitted R6 outcome and is consistent with the blocks.	
6	The package was submitted before the review was requested.	

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Approval and verification

Function	SEVEN-G role	Name and position	Date	Signature or reference
Prepares the package	AI Product Owner and AI Operations Owner			
Verifies (LV-R6)	AI Office (Lite) · AI Auditor (Enterprise)			
Decides and records in P29	AI Sponsor (Lite) · AI Committee (Enterprise)			

Segregation of duties: whoever prepares the package neither verifies it nor decides; the verifier is not part of the team that builds or operates the system.

17

Version control

Completed document

Version	Date	Author	Changes

Template

Version	Date	Changes
0.1	19-09-2026	First version. Orders the ten blocks of the R6 package in 52 §8.2 with criteria R6.01–R6.16 in document 21 and the variances that require G7 to be brought forward.