TEMPLATE P33 · C1 DIAGNOSIS REPORT

C1 diagnosis report

Brings together in a single verified report the actual situation of AI in the company: inventory, maturity, sphere map, transformation index, value and cost, risks and opportunities by sphere, and recommendations for the C2 decision.

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> **Why it matters.** The board cannot set a thesis, an ambition or a risk appetite without knowing what AI the company has, how much it contributes on the evidence and what risks it takes on. The C1 report is the diagnostic basis of C2: each component of the board's decision must cite it (13 §14, criterion 1). Without it, direction is set by opinion, maturity is inflated and transformation is declared without evidence.

01

Instructions for use

Aspect	Guidance
When it is used	In C1: in the first implementation, at the end of month 1 (90 §4.2, week 4, milestone 1); section 10 is completed in month 2 (90 §4.3). In subsequent cycles, the diagnosis is integrated into the C5 annual review (P37).
Who completes it	The AI Office as lead assessor, with the assessment team, management control (value and cost) and the AI Risk Owner (section 10).
Who verifies it	An independent verifier: internal audit, AI Auditor or a third party with no involvement in the practices assessed (11 §4.2).
Who decides or approves	The AI Committee approves the report and presents it to the board (01 §5.1). The committee does not modify answers: it may only request a new verification.
Corporate cycle stage	C1 · Diagnosis. It is the input to C2 (P35) and C3 (P36).
Tools	T02 (inventory), T15 and P34 (maturity), T16 (sphere map), T14 (transformation index), T12 and T13 (value and cost), T06 (risks).
Reference documents	01 §5.1 and §5.3; 11 §6; 10 §8 and §9.1; 12 §4, §5 and §10; 13 §15.A; 90 §4.2 and §4.3; 91 §4.2.
Lite versus Enterprise scope	Both scopes use all sections. Fields marked **(Enterprise)** may be omitted in a Lite scope.

Completion rules:

- **Verified assessment.** Maturity is based on a verified assessment; a self-assessment does not produce a valid level and is not used in C1 (11 §4.1).

- **Explicit no data.** Anything not measured is shown as "no data", never as zero or as an estimate (measurement rule 8; 12 §6).
- **Status of amounts.** Every amount carries a formula and a status: validated, declared or estimated. Released capacity is reported separately and is not added.
- **Cut-off date.** Only evidence that exists and is applied at the cut-off date counts.
- **Urgent risks.** Anything the diagnosis reveals as unacceptable risk is treated immediately as a nonconformity (01 §12), without waiting for the report to be approved.

02

Identification

Field	Content	Guidance
Document code		Identifier of the report; cited by the C2 decision (13 §15.A, "Reference C1 report").
Company or group		In the examples, always fictitious.
Perimeter		That of the mandate (P32). The inventory covers the whole company.
Implementation scope		Lite · Enterprise (90 §2).
Period diagnosed and cut-off date		DD-MM-YYYY.
Maturity assessment type		Verified assessment · Independent assessment (11 §4.1).
Maturity questionnaire version and weights		Equal weights unless others are declared.
Index threshold version		0.1 if those in 12 §4.5 are used.
Lead assessor		
Independent verifier		
Report version and date		
Date of committee approval and of presentation to the board		Reference to the minutes or DEC-AAAA-NNN.

03

Executive summary

One page maximum. Written last.

Field	Content	Guidance
Three key messages		Facts, not opinions, each with its evidence.
AI systems inventoried		Total and in production; how many with Enterprise criteria.
Overall maturity level		Stating whether the D1 or D6 cap has been applied.
Transformation index profile		Assigned profile and, where applicable, underlying profile; provisional if coverage is below 6 out of 8.
Annual net value and proportion of validated value		With the status of the amounts.
Risks requiring immediate action		And the nonconformities opened for them.
Core rules (01 §14.1) already substantiated		Out of 14.
What is asked of the committee and the board		Accept the report and use it as the basis for C2.

04

Scope and method

Field	Content	Guidance
Assessment team		Nobody assesses a dimension whose practices they lead.
Interviews by role		At least two per dimension, from different functions (11 §4.4).
Samples		Size and stratification according to 11 §4.6.
Inventory sources		Procurement, licences, contracts, security controls, interviews.
Limitations		Information not available, areas not covered, time constraints.

05

AI system inventory

Source: T02 and the completeness statements in the annex to P32.

Type (01 §1.3)	Idea	Pilot	Under construction	In production	Total
In-house AI use cases					
Third-party AI embedded in processes					
Corporate use of general-purpose AI					
Unauthorised use detected					
Total					

Field	Content	Guidance
Regulatory classification		Number per category: prohibited, high risk, transparency obligations, minimal risk, out of scope, pending classification.
Systems with any Enterprise criterion (01 §9.2)		By criterion.
Possible prohibited practices		Escalated and with a critical nonconformity, or ruled out in a documented manner.
Coverage of the completeness statements		Areas signed ÷ areas in the perimeter.
Unauthorised use recorded as a nonconformity		Codes NC-AAAA-NNN.

06

Maturity

Result of the maturity assessment recorded in P34, with the calculation rules in 11 §5.

Dimension	Level	Progress to the next	Main blocking criterion	Criteria met in advance	Main strength	Main gap
Strategy and governance (D1)						
Value and portfolio (D2)						
Data and knowledge (D3)						
Technology and operations (D4)						
People and adoption (D5)						
Risk, security and compliance (D6)						
Measurement and evidence (D7)						
***(illustrative example)* D6 · Risk, security and compliance**	1	75 %	D6.03 (Partial): the inventory does not include corporate use	—	Corporate risk register including AI risks	No independent AI Risk Owner

Calculation of the overall level (11 §5.3)	Value
Weighted average (two decimal places)	
Average rounded down	
Cap = min (D1, D6) + 1	
Overall level	
Has the cap been applied?	Yes · No
(§14) questions answered "Yes" (out of 10)	

07

Current sphere map

Built with the rules in 10 §8.1 and 10 §9.1. Amounts in euros for the period.

Sphere	Optimise	Augment	Transform	Level achieved	Level in the portfolio	Markers
01 · Customer						
02 · Product and service						
03 · People						
04 · Operations						
05 · Data						
06 · Knowledge						
07 · Decision						
Enablement band (08 and 09)				—	—	
(illustrative example) **04 · Operations**	6 use cases · €1.60M · High	—	—	Optimise	Optimise	No evidence in 2 use cases

Content of each cell: number of use cases (total and in production) · investment and recurring cost · validated annual net value · colour (No activity, Low, Medium, High). Markers: Gap · Outside the thesis · No evidence · Secondary (in C1 there are no C2 targets yet: the Gap marker is added in C3).

Sphere	Dimension	Current grade (Absent · Basic · Systematic · Advanced)	Supporting indicators
08	Compliance		
08	Anticipation		
08	Ethical leadership		
09	Structure		
09	Speed and control		

Sphere	Dimension	Current grade (Absent · Basic · Systematic · Advanced)	Supporting indicators
09	Supplier ecosystem		

08

Transformation index

Formal calculation with T14 and the rules in document 12. It is presented with the three elements in 12 §10.

Baseline condition	Data	Result
B1 · Governed portfolio		Met · Not met · No data
B2 · Proportion of validated value		
B3 · Scale in production		

Signal	Measured value	Score (0–3)	Remarks
1 · Investment mix			
2 · Value mix			
3 · Materialisation			
4 · Depth of change			
5 · Operating model			
6 · AI-enabled revenue			
7 · Progression to production			
8 · Board decision			In C1 it is usually 0 or 1: there is no approved thesis yet.
Sum (reference)			

Field	Content	Guidance
Coverage (signals with data ÷ 8)		If it is below 6 out of 8, the profile is provisional and a data plan with owner and deadline is added.
Transformation declaration (IT-D1 to IT-D3)		Yes · No, with the evidence.
Evidenced profile		12 §5.2, step 1.
Assigned profile		Step 2.
Complementary alerts		12 §5.3.
What would change the profile		Two or three conditions, with owner and deadline.

09

Current value and cost

Measurement rules in documents 40 and 42. Annual amounts for the period.

Item	Validated	Declared	Estimated	Total	Source
Realised efficiencies					T12
Return					T12
Recurring cost					T13
Annual net value					Efficiencies + return − recurring cost
Released capacity (hours; not added)					T12, T20
Build investment executed in the period					T13

Cost category (document 42)	Amount	Remarks
Licences · Model consumption · Compute and infrastructure · Data · Build staff · Operations staff · Suppliers and services · Control and compliance · Adoption and training		One row per category; "no data" if not known.

10

Risk and opportunity map by sphere

Prepared in month 2 through workshops by sphere (01 §5.3; 90 §4.3) and incorporated as a new version of the report. It is the basis of milestone 2. Risks use the scale in document 33 (level = likelihood × impact: Low 1–4, Medium 5–9, High 10–15, Critical 16–25).

No.	Sphere	Type (Risk · Opportunity)	Description	Systems or use cases (SIA- or IA-)	Risk level or proposed ambition	Economic impact and status	Owner	Timefrar
01								
02								
***(illustrative example)* 01**	01 · Customer	Risk	The enquiries assistant in production does not inform users that they are interacting with an AI	SIA-2026-004	12 High	Sanction and complaints; estimated	Head of customer service	30-11-20
***(illustrative example)* 02**	04 · Operations	Opportunity	Automatic reconciliation of supplier invoices	—	Optimise	€0.40M a year; estimated with formula	Chief financial officer	Entry in C

Summary	Value
High and Critical risks, all with an owner	
Systems with Enterprise criteria classified or with a classification date	
Spheres with opportunities identified, or a record that there are none	

11

Findings and recommendations for C2

11.1 Findings

No.	Finding	Evidence	Dimension or sphere	Severity (High · Medium · Low)	Nonconformity required? (NC-AAAA-NNN)
H01					
H02					

11.2 Recommendations for the C2 decision

One row per component in 13 §2 for which the diagnosis provides a basis. If a component has no diagnostic basis, "no data" is stated.

C2 component	Recommendation	Findings on which it is based	Priority
AI thesis			
Ambition per sphere			
Risk appetite			
Thresholds and time limits			
Framework budget			
Maturity targets by dimension			References in 11 §8.
Regularisation of existing systems			

12

Quality criteria

#	Check	Status
1	Each maturity level has linked evidence and the assessment is verified (91 §4.2; 11 §4.1).	Met · Not met · Not applicable · Pending
2	Absences are shown as "no data"; no signal is replaced by an estimate.	
3	Value distinguishes validated, declared and estimated, and released capacity is not added.	
4	The inventory includes in-house, third-party, corporate use and unauthorised use (question D6.03 in document 11), with signed completeness statements (D6.05).	
5	The index has been calculated with its eight signals and the threshold version is recorded (question D7.08).	
6	Risks and opportunities by sphere have an owner, economic impact and timeframe (90 §4.5, criterion 3).	
7	Urgent risks have been treated as a nonconformity without waiting for approval.	
8	The recommendations for C2 cite the findings on which they are based.	

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Approval and verification

Function	Role in SEVEN-G	Name and position	Date	Signature or reference
Prepares	AI Office (lead assessor)			
Validates value and cost figures	Management control			
Prepares the risk map	AI Risk Owner			
Verifies	Independent verifier (internal audit, AI Auditor or third party)			Result: Conformant · Conformant with observations · Nonconformant

Function	Role in SEVEN-G	Name and position	Date	Signature or reference
Approves	AI Committee			Reference to the minutes
Receives	Board or board committee			Reference to the minutes

Segregation of duties: the verifier has not taken part in the fieldwork and does not lead the practices assessed; the committee approves the report but does not modify answers.

14

Version control

Completed document

Version	Date	Author	Changes

Template

Version	Date	Changes
0.1	19-09-2026	First version. Brings together the mandatory C1 outputs (01 §5.1), the maturity report (11 §6), the current sphere map (10 §8), the presentation of the index (12 §10), value and cost with their status and the month-2 risk and opportunity map by sphere (01 §5.3).