TEMPLATE P04 · INTENSITY DETERMINATION

Intensity determination

Decides, using eight yes-or-no questions, whether the use case is governed at Lite or Enterprise intensity, and records the justification.

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01

Instructions for use

Aspect	Guidance
When it is used	In phase 0, before G0. It must be repeated at G3 and at each continuity review (R6), and whenever a fact affecting a criterion changes (01 §9.1).
Who completes it	The AI Product Owner, with the AI Risk Owner.
Who verifies it	Lite: AI Office. Enterprise: AI Auditor. If the result is Lite, verification should pay particular attention to the "No" answers.
Who decides	The result is automatic: no decision is required. The *gate* body merely checks that the answers are justified.

Aspect	Guidance
Gate at which it is reviewed	Authorisation, G3 · Feasibility and R6 · Continuity review. `(G0)`
Tool	T04 · Intensity determination (module of T01).
Lite versus Enterprise	This template is the same at both intensities, because it is the one that determines which applies. It has no **(Enterprise)** fields: block 7 (change of intensity) is completed at both intensities when the result changes.

Completion rules:

- **A single Enterprise criterion is enough for that intensity to apply** (01 §9.1).
- Every answer, whether "Yes" or "No", is justified with facts and, where available, with linked evidence.
- In this template, a "Pending" answer counts as "Yes" until it is resolved, with an owner and a date. This prevents progressing with less control owing to a lack of information. It is a prudential rule proposed by the template, which document 21 should confirm.
- Intensity cannot be lowered to speed up a *gate*. A use case that meets no criterion may voluntarily apply Enterprise.

02

Identification

Field	Content	Guidance
Use case code		IA-AAAA-NNN.
Use case name		
Timing of the determination		G3 · R6 · Relevant change. `(phase 0)`
Previously determined intensity		Lite · Enterprise · None (first determination).
Document version		
Date		DD-MM-YYYY.
Author		Name and role.

03

Sources consulted

Source	Reference	Guidance
Use case charter	P01	Scope and exposure.
Context and constraints statement	P02	Data, maximum autonomy, critical function.
Inventory record	P05	Technology, exposure, autonomy, regulatory role.
Ambition classification	P07	Criterion 7.
Regulatory classification	P11	Criterion 1 (from phase 3 onwards).
Investment threshold approved in C2	Document 13	Criterion 8.

04

Enterprise criteria

Answer the eight questions. Reference: 01 §9.2.

#	Criterion	Question	Answer	Justification	Evidence
1	High regulatory risk	Is the system classified, or are there reasonable indications that it will be classified, as high-risk under applicable regulation?	Yes · No · Pending		P11 or preliminary analysis
2	Decisions about people	Does the output significantly influence decisions about people, such as employment, credit, insurance or access to services?	Yes · No · Pending		
3	Direct exposure	Do customers, patients, citizens or other external persons interact directly with the system?	Yes · No · Pending		Exposure in P05
4	Agents capable of acting	Does the system execute actions, such as writing to systems, sending communications or making payments, without a person validating each action before it is executed (A2 or A3), and do those actions have an effect on third parties, money, personal data or production systems?	Yes · No · Pending		Autonomy level in P05

#	Criterion	Question	Answer	Justification	Evidence
5	Specially protected data	Does it process special categories of personal data or critical confidential information?	Yes · No · Pending		P02 §6
6	Critical function	Does it support a critical or important business function, or one subject to sector-specific operational resilience regulation?	Yes · No · Pending		
7	Transform level	Is the use case classified as Transform?	Yes · No		P07
8	Investment	Does the planned investment exceed the threshold approved by the board in C2?	Yes · No · Pending	State the threshold and the estimated investment.	P01 §7

Guidance for the questions that raise the most doubts:

#	Guidance
1	Until P11 has been completed, the answer is based on the preliminary analysis. If the system falls within a high-risk area (for example, employment, access to essential services, critical infrastructure, education or biometrics) and this has not been ruled out in a documented manner, the answer is "Pending".
2	"Significantly" includes cases in which the person deciding usually follows the system's output, even if they formally have the final say.
3	Equivalent to the exposure "Customers or external persons directly" in the taxonomy (03 §3.3). Indirect exposure does not trigger the criterion, although it must be assessed under criterion 2.
4	The answer is "Yes" when the autonomy level is A2 (Supervised action) or A3 (Autonomous action) and the actions have an effect on third parties, money, personal data or production systems (01 §9.2; autonomy levels in document 35). With A0 or A1, or with A2 or A3 without that effect, the answer is "No" and it is justified.
5	Special categories include, among others, health data, biometric data for identification purposes, ethnic origin, political opinions, religious beliefs, trade union membership, sex life or sexual orientation. Data relating to criminal convictions and offences should be treated with the same criterion.
6	For entities subject to DORA , the critical or important functions that the entity has identified are considered.
8	The total planned investment in the use case is considered, not only the budget authorised at G0.

05

Result

Calculation rule: if at least one answer is "Yes" or "Pending", the intensity is **Enterprise**. If all are "No", the intensity is **Lite**, or **Express** if the eligibility in section 5.1 is also met.

In a spreadsheet: `=IF(COUNTIF(answer_range,"No")=8,"Lite","Enterprise")` .

Field	Content	Guidance
Number of criteria answered "Yes"		Automatic count.
Number of criteria answered "Pending"		Automatic count.
Resulting intensity		Express · Lite · Enterprise.
Criteria that determine it		Numbers of the criteria answered "Yes" or "Pending".
Voluntary application of Enterprise		Yes · No. Only if the result is Lite and the company decides to apply Enterprise.
Pending items to be resolved		Criterion, owner and date.

(illustrative example) Assistant for responding to customer queries about orders: criterion 3 "Yes" (customers converse with the assistant); the rest "No". Resulting intensity: **Enterprise**.

5.1 Eligibility for Express

Only if all eight Enterprise criteria are "No". The use case is **Express** when all six conditions are "Yes" and the risk function (in small companies, the AI Office) confirms it; otherwise it is **Lite** (01 §9.4).

No.	Condition	Answer (Yes · No · Pending)	Justification and source
E1	Internal use: no direct exposure to customers or external people.		
E2	Reversible within days, with no residual effect.		
E3	Autonomy A0 or A1.		
E4	No special categories of data or critical confidential information.		

No.	Condition	Answer (Yes · No · Pending)	Justification and source
E5	Investment plus annual cost below the Express threshold approved in C2.		
E6	If an AI supplier is involved, it is already approved (current P55).		
	Eligibility confirmed by	Name and role (risk function or AI Office)	Date

If any condition later ceases to be met or an Enterprise criterion appears, section 7 is completed and the use case moves to Lite or Enterprise.

06

Consequences of the intensity

Reference: 01 §9.3 and, for verification and decision, 01 §7.5.

Aspect	Express	Lite	Enterprise
Gates	As Lite; G3 separately, may be resolved in writing	G0–G2 and G4–G5 may be grouped	All separately
Evidence	Living record (P01 §14) and referenced evidence (21 §4.3)	Simplified templates	Full templates
Verification	AI Office	AI Office; AI Auditor by sampling	AI Auditor at all *gates*
Decision	Sponsor, with risk clearance at G3, G4 and G5	Sponsor, with risk clearance at G3, G4 and G5	AI Committee; board for Transform
Go-live	Risk clearance	Risk clearance	Multi-level sign-off with veto
Continuity review	Annual	Half-yearly	Quarterly
Visibility	Aggregated board dashboard	Aggregated board dashboard	Board dashboard by use case

07

Change of intensity

Complete only if the result differs from the previous determination. It is mandatory at both intensities: the move from Lite to Enterprise is recorded precisely while the use case is still Lite.

Date	From	To	Criterion that changes	Evidence to be completed	*Gates* affected	Recorded by
	Lite · Enterprise	Lite · Enterprise				
(illustrative example) **15-01-2027**	Lite	Enterprise	4: automatic action on orders is added	P17 and P18 complete; multi-level sign-off at G5	G4 and G5 separately	AI Office

A change from Lite to Enterprise requires the evidence for the pending *gates* to be completed using the full templates. A change from Enterprise to Lite is only possible if all the criteria disappear, and it must be recorded as a classification change event (03 §3.3).

08

Quality criteria

The formal criteria are in document 21 (see criteria G0.xx, G3.xx and R6.xx).

#	Check	Status
1	All eight questions have been answered.	Met · Not met · Not applicable · Pending
2	Each answer has a fact-based justification.	
3	The answers are consistent with P01, P02, P05, P07 and, from phase 3 onwards, with P11.	
4	The result applies the "one is enough" rule.	
5	Pending criteria have an owner and a date.	
6	If there is a change of intensity, the evidence and *gates* affected have been identified.	

09

Approval and verification

Function	Role in SEVEN-G	Name and position	Date	Signature or reference
Prepares	AI Product Owner			
Consulted	AI Risk Owner			
Verifies	AI Office (Lite) · AI Auditor (Enterprise)			
Takes note	Body that decides the *gate*			

Segregation of duties: the verifier has not answered the questionnaire.

10

Version control

Completed document

Version	Date	Author	Changes

Template

Version	Date	Changes
0.1	16-09-2026	First version. Converts the eight Enterprise criteria of 01 §9.2 into questions with an automatic result and records changes of intensity. Consistency adjustments with 01 (segregation of duties at Lite, R6 outcomes, agents criterion) and with 34 and 37.
0.1	19-09-2026	Block 7 (change of intensity) is no longer *(Enterprise)*: it is also completed at Lite, which is when the move to Enterprise is recorded.
0.2	01-10-2026	Express intensity: section 5.1 on eligibility (six conditions and confirmation) and Express column in the consequences.

External sources cited

Links to the official or primary source, checked before publication. Always verify the version in force on the date of use.

Regulation (EU) 2022/2554 of the European Parliament and of the Council of 14 December 2022 on digital operational resilience for the financial sector (DORA) · https://eur-lex.europa.eu/eli/reg/2022/2554/oj/eng · Parlamento Europeo y Consejo de la UE · 14-12-2022